

CPASLLC Brochure Supplement
Form ADV, Part 2B



Cornerstone Professional Advisor Services, LLC, a Registered Investment Adviser
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Office Phone Number 516-408-1160
Email Address: info@cpasllc.com

Brochure Supplement Date: **JULY 30, 2011**

This Brochure Supplement provides information about certain advisory personnel that supplements the Cornerstone Professional Advisor Services, LLC (CPASLLC) Form ADV, Part 2A Brochure. You should have received a copy of that Brochure. Please contact the Supervisor(s) listed below, if you did not receive CPASLLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about your investment advisor "IAR" is available on the S.E.C 's website at www.adviserinfo.sec.gov.

This Brochure Supplement has not been approved by the SEC or any state securities authority. The terms "registered investment adviser" (RIA) and "investment adviser representative" (IAR) do not mean that any particular training level or skill has been reached by the entity or person using the term. Those terms only mean that entity or person is registered with the SEC or a state securities authority.

PRINCIPALS

- **Alfonso Figliolia** (Born 1948)

Educational Background and Business Experience

Since 1982, Mr. Figliolia has provided services as a financial planner to individual clients as well as CPAs and their businesses. He is also a Member of the Financial Services Institute.

Mr. Figliolia is a graduate of St. Francis College in New York City and graduated with Bachelor of Business Administration and Bachelor of Science degrees.

As an arbitrator for the Financial Industry Regulatory Authority ("FINRA"), Al is frequently called upon to arbitrate cases. In addition, he also has acted as an expert witness for various security litigation cases.

Because of his extensive experience in the financial world, Al has successfully combined his financial skills with his ability to understand the needs of those seeking financial services. These financial services include wealth building, retirement and estate planning, business planning and assisting clients in College planning.

Disciplinary Information

Mr. Figliolia has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Figliolia or of CPASLLC.

Other Business Activities

Mr. Figliolia is a registered representative ("RR") of WRP Investments, Inc. ("WRP"). In his capacity as an RR, Mr. Figliolia is authorized to offer you certain products issued or offered by companies other than WRP ("non-WRP products").

As an RR, Mr. Figliolia also may service your securities or insurance products on behalf of the company issuing the product. Depending on his capacity, he is compensated by WRP, for sale, renewal and servicing of certain authorized non-WRP products.

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This compensation includes base commissions and other forms of compensation that may vary from product to product. You should be aware that Mr. Figliolia, depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he will receive. This potential conflict of interest is addressed by WRP through communications to, and training and supervision of, its IARs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel overseeing your IAR's activities.

Name of Outside Business Activity, Nature of Outside Business Activity

WRP Investments, Inc.
Registered Representative
Commission-based investment products & services

Additional Compensation

CPASLLC and its IARs may also receive other compensation from third party investment advisers. An adviser may sponsor its own conferences for training and educational purposes to which certain CPASLLC IARs are invited. CPASLLC IARs may attend these conferences without charge. In addition, the third party Investment Adviser may also reimburse or pay for the travel and other related or miscellaneous expenses. Your IAR also is eligible for non-cash compensation (such as conferences and reimbursement for business expenses) from CPASLLC based on his or her overall sales and productivity. CPASLLC has referral arrangements with certain third party investment advisers where CPASLLC, through the IAR, may refer clients to these third party investment advisers in exchange for a solicitation fee. The fee is paid and calculated by the third party investment advisers and is based on the client's total assets under management and is shared with the IAR.

Supervisory Information

Your IAR's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC, at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring; the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly, Chief Compliance Officer
Supervisor's Telephone Number: 817-410-3715

- **David Kelly, CFP®** (Born 1956)

Educational Background and Business Experience

Mr. Kelly has been in the financial services industry since 1990 and was licensed as a Certified Financial Planner (CFP) since 1993.

As a CFP, his practice focuses on providing a complete financial plan for his clients; addressing their concerns towards wealth building, college education funding, retirement and estate planning. For small business owners, David also addresses business planning and succession planning strategies.

David believes in giving back to his community through volunteer service. He currently is serving in his third term as Mayor of his home town, Colleyville, Texas and serves as a board member on several non-profit and community organizations.

David attended Texas Christian University and graduated with a Bachelor of Business Administration degree.

Disciplinary Information

Mr. Kelly has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Kelly or of CPASLLC.

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Other Business Activities

Mr. Kelly is a registered representative (“RR”) of WRP Investments, Inc. (“WRP”). In his capacity as an RR, Mr. Kelly is authorized to offer you certain products issued or offered by companies other than WRP (“non-WRP products”).

As an RR, Mr. Kelly also may service your securities or insurance products on behalf of the company issuing the product. Depending on his capacity, he is compensated by WRP, for sale, renewal and servicing of certain authorized non-WRP products. This compensation includes base commissions and other forms of compensation that may vary from product to product. You should be aware that Mr. Kelly, depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he will receive. This potential conflict of interest is addressed by WRP through communications to, and training and supervision of, its IARs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client’s needs by supervisory personnel overseeing your IAR’s activities.

Name of Outside Business Activity, Nature of Outside Business Activity

WRP Investments, Inc.
Registered Representative
Commission-based investment products & services

Additional Compensation

CPASLLC and its IARs may also receive other compensation from third party investment advisers. An adviser may sponsor its own conferences for training and educational purposes to which certain CPASLLC IARs are invited. CPASLLC IARs may attend these conferences without charge. In addition, the third party Investment Adviser may also reimburse or pay for the travel and other related or miscellaneous expenses. Your IAR also is eligible for non-cash compensation (such as conferences and reimbursement for business expenses) from CPASLLC based on his or her overall sales and productivity. CPASLLC has referral arrangements with certain third party investment advisers where CPASLLC, through the IAR, may refer clients to these third party investment advisers in exchange for a solicitation fee. The fee is paid and calculated by the third party investment advisers and is based on the client’s total assets under management and is shared with the IAR.

Supervisory Information

Your IAR’s conduct and activities with public customers are supervised by a supervisory representative of CPASLLC, at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring; the review of written materials and/or other appropriate practices.

Supervisor’s Name, Title: Al Figliolia, Principal
Supervisor’s Telephone Number: 516-408-1160

- **Steven Madonna, CPA** (Born 1953)

Educational Background and Business Experience

For his entire career, Mr. Madonna has been assisting his clients in all areas of accounting, financial issues and investment opportunities.

As a practicing accountant he focuses on assisting businesses of all sizes in achieving and exceeding their potential through the implementation and execution of well thought out strategic plans. Because of his extensive experience with corporate models of all sizes, numerous companies seek his advice on mergers, acquisitions and other growth opportunities.

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As an Investment Adviser Representative, Steven has successfully merged his accounting expertise with his foresight, resources, and exceptional planning skills to assist those seeking help with many financial services. These financial services include wealth building, retirement and estate planning, business succession planning and financial strategies for education opportunities.

Mr. Madonna is a graduate of the Bernard M. Baruch College of the City University of New York.

Disciplinary Information

Mr. Madonna has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Madonna or of CPASLLC.

Other Business Activities

Mr. Madonna is the Managing Partner of Madonna & Company, LLP, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

Madonna & Company, LLP
Managing Partner
Full service accounting firm

Additional Compensation

As the managing partner at Madonna & Company LLP, Mr. Madonna provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Madonna's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Scott Ackerman, CPA** (Born 1957)

Educational Background and Business Experience

Mr. Ackerman is a practicing Certified Public Accountant. As a member of his firm, he has acted as accountant and financial advisor to his clients that include real estate developers, manufacturers, distributors, importers, service companies, contractors, restaurants and retailers.

Throughout his years of practice, Scott has always worked closely with his clients. He has been an integral part of in the computerization and implementation of automated management and accounting systems for many businesses. An expert in the fields of taxation and corporate finance, he has assisted his clients in the negotiation of bank financing, commercial factoring agreements, as well as negotiation with the Internal Revenue Service and various other state and local authorities on a variety of tax issues.

Mr. Ackerman has worked closely with attorneys and clients as an expert witness in both matrimonial and business litigations. Additional information of Wagner, Ferber, Fine & Ackerman, PLLC can be obtained on the firm's website at www.wfracpa.com

Mr. Ackerman received a Bachelor of Science degree from Queens College.

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Disciplinary Information

Mr. Ackerman has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Ackerman or of CPASLLC.

Other Business Activities

Mr. Ackerman is a member of Wagner, Ferber, Fine & Ackerman, PLLC, Certified Public Accountants. Board Member/Director and Chairman of Auditing Committee of ROO Group Inc.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Wagner, Ferber, Fine & Ackerman, PLLC
Certified Public Accountants
R00 Group Inc / Director and Chairman of Audit Committee

Additional Compensation

As a member of Wagner, Ferber, Fine & Ackerman, PLLC, Mr. Ackerman provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Director and Chairman of Audit Committee for R00 Group Inc.

Supervisory Information

Mr. Ackerman's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Elbert Bivins, CPA** (Born 1947)

Educational Background and Business Experience

Mr. Bivins is a practicing Certified Public Accountant. For over 38 years he has been assisting individuals and small businesses with a specialty in business valuation. Elbert has also been a personal financial advisor since 2000.

Mr. Bivins is a graduate of Mississippi State University with a degree in accounting.

Disciplinary Information

Mr. Bivins has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Bivins or of CPASLLC.

Other Business Activities

Mr. Bivens is a partner of Montgomery & Bivins, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Montgomery & Bivins
Certified Public Accountants

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Additional Compensation

As a partner of Montgomery & Bivins; Mr. Bivins provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Bivins conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Keith Botsch, CPA** (Born 1955)

Educational Background and Business Experience

Mr. Botsch is a practicing certified public accountant. He has been a personal financial advisor since 2001.

Disciplinary Information

Mr. Botsch has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Botsch or of CPASLLC.

Other Business Activities

Mr. Botsch is a certified public accountant.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Keith Botsch
Certified Public Accounting

Additional Compensation

As a certified public accountant, Mr. Botsch provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Botsch's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

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- **Jim Cardillo, CPA** (Born 1955)

Educational Background and Business Experience

Mr. Cardillo is a practicing certified public accountant. He has been a personal financial advisor since 2001.

Disciplinary Information

Mr. Cardillo has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Cardillo or of CPASLLC.

Other Business Activities

Mr. Cardillo is a certified public accountant. He is also the Owner/President of three local retail pharmacies: Pharmacy Center, Inc., Hudson Valley, LTC, and Montgomery Valley Pharmacy, Inc.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Jim Cardillo
Certified Public Accounting

Pharmacy Center, Inc. - Owner/President
Hudson Valley, LTC - Owner/President
Montgomery Valley Pharmacy, Inc. - Owner/President

Additional Compensation

As a certified public accountant, Mr. Cardillo provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Cardillo's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Sheryl Fine, CPA** (Born 1967)

Educational Background and Business Experience

Mrs. Fine is a practicing certified public accountant. She has been a personal financial advisor since 2001.

Disciplinary Information

Mrs. Fine has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Fine or of CPASLLC.

Other Business Activities

Mrs. Fine is a member of Wagner, Ferber, Fine & Ackerman, PLLC, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Wagner, Ferber, Fine & Ackerman, PLLC
Certified Public Accountants

Additional Compensation

As a member of Wagner, Ferber, Fine & Ackerman, PLLC, Mrs. Fine provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mrs. Fine's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Ronald B. Gould, CPA** (Born 1948)

Educational Background and Business Experience

For 40 years Mr. Gould has been counseling individuals and businesses in all aspects of taxation and financial statement presentation. As such, Ronald has seen firsthand the benefits of sound financial planning, succession planning and a proactive approach to mergers, acquisitions and the like.

Further, Ronald has mediated internal disagreements of businesses and families, as well as assisting judges and others in determining equitable settlements. This skill set allows Ronald an analytical perspective in seeming entangled situations. This leads directly to objectively being insightful into financial matters.

As a Personal Financial Advisor Ronald has been instrumental in delving into business retirement plans and private individual's assistance with protection of wealth. In many situations he is uniquely positioned to offer constructive criticism or positive alternatives. Ron's successful career and oft-recognized accomplishments are testament to a proven leader and mentor.

Disciplinary Information

Mr. Gould has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Gould or of CPASLLC.

Other Business Activities

Mr. Gould is a certified public accountant and a sales agent for Remax Plus Realty.

Name of Outside Business Activity, Nature of Outside Business Activity

C.P.A /Certified Public Accounting
Sales Agent dealing in Commercial Real Estate and Business Brokerage

Additional Compensation

As a certified public accountant, Mr. Gould provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

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Supervisory Information

Mr. Gould's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Lawrence R. Schaffer, CPA** (Born 1952)

Educational Background and Business Experience

Mr. Schaffer is a practicing Certified Public Accountant. He has over 30 years experience in accounting and taxation with extensive knowledge in estate planning and administration.

Larry has been a personal financial advisor since 2001.

Mr. Schaffer is a graduate of the Bernard M. Baruch College of the City University of New York.

Disciplinary Information

Mr. Schaffer has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Schaffer or of CPASLLC.

Other Business Activities

Mr. Schaffer is a member of Madonna & Company, LLP, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

Madonna & Company, LLP
Member
Full service accounting firm

Additional Compensation

As a member at Madonna & Company, Mr. Schaffer provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Schaffer's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

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- **Ellen J. Schreiber** (Born 1964)

Educational Background and Business Experience

Ms. Schreiber has practiced accounting for over 20 years. She has experience in all areas of accounting with an emphasis on closely held businesses and income taxes. Her knowledge and personality have allowed her to develop long standing client relationships. Ellen has been with the firm of Madonna & Company, LLP since 1991 and has been a personal financial advisor since 2001.

Ms. Schreiber is a graduate of Hofstra University.

Disciplinary Information

Ms. Schreiber has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Schreiber or of CPASLLC.

Other Business Activities

Ms. Schreiber is an employee of Madonna & Company, LLP, Certified Public Accountants.
C.F.O of N Y Tennis at Long Beach Inc.

Name of Outside Business Activity, Nature of Outside Business Activity

Madonna & Company, LLP
Accountant
Full service accounting firm

E J S Professional Associates Inc
Accountant /Tax Services

C.F.O. of NY Tennis at Long Beach Inc

Additional Compensation

As an accountant at Madonna & Company, Ms. Schreiber provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

E J S Professional Associates Inc ,Ms Schreiber provides tax services.

C.F.O. of NY Tennis at Long Beach ,Ms Schreiber provides booking, record keeping and oversight all fiscal aspects of the business.

Supervisory Information

Ms. Schreiber's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

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- **Ken Singer, CPA, PFP** (Born 1940)

Educational Background and Business Experience

Mr. Singer is a practicing certified public accountant. He has been a personal financial advisor since 2001.

Disciplinary Information

Mr. Singer has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Singer or of CPASLLC.

Other Business Activities

Mr. Singer is a certified public accountant.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Ken Singer
Certified Public Accounting

Additional Compensation

As a certified public accountant, Mr. Singer provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Singer's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Stephen Wagner, CPA** (Born 1955)

Educational Background and Business Experience

Mr. Wagner is a practicing certified public accountant. He has been a personal financial advisor since 2001

Disciplinary Information

Mr. Wagner has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Wagner or of CPASLLC.

Other Business Activities

Mr. Wagner is a member of Wagner, Ferber, Fine & Ackerman, PLLC, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Wagner, Ferber, Fine & Ackerman, PLLC
Certified Public Accountants

Additional Compensation

As a member of Wagner, Ferber, Fine & Ackerman, PLLC, Mr. Wagner provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

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Supervisory Information

Mr. Wagner's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Wilkins Montgomery, CPA** (Born 1955)

Educational Background and Business Experience

Mr. Montgomery is a practicing certified public accountant. He has been a personal financial advisor since 2001.

Disciplinary Information

Mr. Montgomery has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Montgomery or of CPASLLC.

Other Business Activities

Mr. Montgomery is a partner of Montgomery & Bivins, Certified Public Accountants.

Name of Outside Business Activity, Nature of Outside Business Activity

CPA
Montgomery & Bivins
Certified Public Accountants

Additional Compensation

As a partner of Montgomery & Bivins; Mr. Montgomery provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Montgomery's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Holly Lundberg, CLU, CHFC, AEP, MSFS, CLTC** (Born 1941)

Educational Background and Business Experience

Ms. Lundberg has been providing insurance coverage and overall financial services to high net worth individuals, business owners, professionals and their families since 1970.

Holly holds designations as a Chartered Life Underwriter, a Chartered Financial Consultant and an Accredited Estate Planner from the American College in Bryn Mawr, Pennsylvania. She has conducted seminars on financial, business and retirement planning and is frequently asked to speak to groups and organizations. She has been interviewed on the radio discussing ways individuals can reach their goals. Holly has taught courses on investments,

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insurance and financial planning. Her interest and concern for people enables her to provide prudent and caring guidance to her clients.

Ms. Lundberg is a graduate of Pace University with a Master of Sciences in Financial Services degree.

Disciplinary Information

Ms. Lundberg has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Lundberg or of CPASLLC.

Other Business Activities

None

Name of Outside Business Activity, Nature of Outside Business Activity

None

Additional Compensation

None

Supervisory Information

Ms. Lundberg's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Albert Davidson, Jr., CPA, P.C.** (Born 1962)

Educational Background and Business Experience

Mr. Davidson is a practicing Certified Public Accountant. As a member of his firm, he has acted as an accountant, CFO/Controller for small and medium size business clients, and as a financial advisor.

Disciplinary Information

None

Other Business Activities

Mr. Davidson is the President of Albert Davidson Jr., CPA, P.C. He is also a Managing Member of Back Office Advisors, LLC of NY, Back Office Advisors of Denver, LLC, and Pro Trader Tax, LLC, a virtual tax consulting firm for active traders and investors.

Name of Outside Business Activity, Nature of Outside Business Activity

Albert Davidson, Jr. CPA, P.C. - President
Back Office Advisors, LLC of NY - Managing Member
Back Office Advisors of Denver, LLC - Managing Member
Pro Trader Tax, LLC – Managing Member

Additional Compensation

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As President and Managing Partner, Mr. Davidson provides accounting and consulting services, and oversees various functions of small businesses.

Supervisory Information

Mr. Davidson's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

- **Robert E. Grubman, CPA** (Born 1960)

Educational Background and Business Experience

Rob is a practicing Certified Public Accountant. As a member of his firm, Rob helps business owners focus on all issues that will strengthen their business, provide financial management and place them on the path to greater profitability and efficiency. Rob has been a personal financial advisor and has an insurance since 1997.

Through Rob's efforts, his firm has developed a practice that specializes in entertainment and life style companies. He represents music artists and producers, clothing designers and retailers and interior designers.

Mr. Grubman is a graduate of the State University of New York Albany.

Disciplinary Information

Mr. Grubman has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Grubman or of CPASLLC.

Other Business Activities

Mr. Grubman is a certified public accountant and a part owner & principal of Bell & Company.

Name of Outside Business Activity, Nature of Outside Business Activity

Bell & Company, CPA's, PC
Personal financial management and real estate
Part owner & Principal

Additional Compensation

As a certified public accountant, Mr. Grubman provides accounting and auditing services, as well as estate planning and tax management and planning to companies and individuals.

Supervisory Information

Mr. Grubman's conduct and activities with public customers are supervised by a supervisory representative of CPASLLC at the Regional Office. This supervision takes place through personal observation, electronic monitoring, and the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: David Kelly
Supervisor's Telephone Number: 817-410-3715

DEFINITIONS and CRITERIA for DESIGNATIONS

Certified Public Account (CPA)

An individual who has passed the uniform CPA examination administered by the American Institute Of Certified Public Accountants, and who has received state certification to practice accounting. To achieve this designation, an individual usually has to complete 5 years of education, and a certain degree of work experience. Additionally, once an individual becomes a CPA, they typically must complete a certain number of hours of continuing education each year.

Certified Financial Planner (CFP®)

An experienced financial planner who has completed courses of study and passed examinations in areas such as insurance, securities and taxes. Additionally, CFP's are required to disclose ethical standing and comply with the CFP Board Code of Ethics. The designation is awarded by the Certified Financial Planner Board of Standards.

Certified Investment Management Consultant (CIMC ®)

Certified Investment Management Consultants (CIMC) have completed extensive coursework and passed FINRA-proctored examinations for Levels I and II of the Institute for Certified Investment Management Consultants' rigorous professional education course. Study includes topics such as asset allocation, modern portfolio management, portfolio performance measurement, and ethics. In addition, CIMCs must meet the Investment Management Consultants Association's requirements concerning experience in consulting and managed accounts, adhere to its Code of Ethics and its continuing education requirements.

Accredited Asset Management Specialist (AAMS®)

An investment professional that has successfully satisfied requirements of an in-depth course of study focusing exclusively on asset management set forth by the College for Financial Planning. To be entitled to use this designation, AAMS designees must have passed a rigorous examination, sign a code of professional ethics and complete a disclosure form attesting to their professional conduct.

Registered Investment Adviser (RIA)

An entity that, for compensation, engages in the business of advising others as to the value of securities or the advisability of purchasing or selling securities. Investment advisory practices are required to register with the Securities and Exchange Commission.

Professional Plan Consultant (PPC™)

This designation signifies a commitment to education and service excellence in the qualified plan industry. The 401k Service Training Program™ is the only course that sets service standards in the retirement plan industry and imparts professionals not only with the knowledge, but the tools needed to meet (and exceed) those standards.

Master of Business Administration (MBA)

The Master of Business Administration is a professional graduate degree that concentrates on the analytical tools of business and the functional areas of accounting, economics, finance, organizational environments, marketing, management, and communications.

Accredited Investment Fiduciary (AIF®) and Accredited Investment Fiduciary Analyst (AIFA®)

The Accredited Investment Fiduciary and Accredited Investment Fiduciary Analyst designations are awarded by the Center for Fiduciary Studies in association with the University of Pittsburgh, Joseph M. Katz Graduate School of Business. Designees have completed a specialized program on investment fiduciary standards of care and passed a comprehensive examination on the 27 Prudent Investment Practices that form the basis of their training. Designees are also required to strictly adhere to continuing professional education requirements.

The AIF mark signifies the designee has acquired the knowledge to apply *Global Fiduciary Standards of Excellence*.

The AIFA mark signifies the designee has demonstrated the knowledge to assess whether an investment fiduciary conforms to a *Global Fiduciary Standard of Excellence*.